SEP 14 7001 WILLIAM A

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant

Ben Huang

App. No

10/827,095

Filed

April 19, 2004

For

GOLF CLUB HANDLE GRIP

Examiner

Stephen Luther Blau

Art Unit

3711

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a Supplemental Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing one hundred and ten (110) references to be considered by the Examiner. Copies of all the non-patent references are submitted pursuant to 37 C.F.R. § 1.98(d). Copies of related applications in the Non Patent Literature Documents section are not enclosed as the Applicant understands that the Examiner will be able to analyze the patent references using an electronic database. As provided by 37 C.F.R. § 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed as an admission that the cited references are material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list, nor represents that better art does not exist.

In an abundance of caution, Applicant has also pointed the Examiner's attention to a number of commonly owned issued patents and pending applications. These references do not share a common priority claim but may include related subject matter. These cited references could potentially include prosecution history relevant to the currently pending claims.

In accordance to M.P.E.P. § 2001.06(c), a number of the references identified herewith correspond to the existence of litigation and other information arising from litigation. The owner of the patents and applications, Ben Huang, and his company, Winn, Inc., has pursued various

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 Docket No. WINN.020C1

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 : April 19, 2004
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infringers in a number of law suits. In particular, U.S. patent number 6,843,732 is involved in litigation in Winn, Inc., et al. v. Karakal Far East Ltd., et al., U.S. District Court for the Central District of California (Southern Division), 8:05-CV-00168 CJC (RNBx). The Court has previously advised the U.S. Patent and Trademark Office of the filing of this law suit in accordance with 35 U.S.C. § 290. This law suit has not proceeded to trial nor final judgment. The parties have exchanged initial disclosures and have stipulated to a preliminary injunction.

In addition, four (4) other U.S. patents have been involved in litigation: 5,695,418; 5,797,813; 5,857,929; and 6,244,975. In an abundance of caution, Applicant provides below the case numbers for the litigations involving these patents and a brief statement of which patents were involved in each and the current status of the cases. In the non-patent references section, Applicant has provided the Examiner with copies of some documents from several of these litigations. If the Examiner so requests, Applicant can provide the Examiner with copies of any other documents from these litigations that the Examiner believes may provide relevant information to the present application.

Winn v. Kelmac, U.S. District Court- Central District of California (Southern Division-Los Angeles) Civil Docket for Case # SACV 00-881-AHS (ANx), involved U.S. Patent No. 5,797,813. Though the complaint and several discovery requests were filed, defendants did not file an answer nor responses to the discovery requests. The case has since settled.

Winn, Inc., et al. v High Cedar, et al., U.S. District Court- Central District of California (Southern Division- Santa Ana) Civil Docket for Case # 8:00-CV-00882 AHS AN, involved U.S. Patent No. 5,797,813. The complaint was amended in June of 2001 to include U.S. Patent No. 5,695,418. Discovery requests were exchanged. This case has since settled.

Winn, Incorporated, et al. v Eaton Corporation, et al., U.S. District Court- Central District of California (Western Division- Los Angeles) Civil Docket for Case # CV-1568 SJO (PJWx), involved U.S. Patent Nos. 5,695,418 and 5,797,813. Discovery was conducted, Eaton's two summary judgment motions, for non-infringement and for invalidity and non-infringement, were denied, and Winn's motion for summary judgment to dismiss Eaton's counterclaims was taken under submission. However, the case settled prior to a court order regarding the motion.

<u>King Par Corp. v. Winn Inc.</u>, U.S. District Court- Eastern District of Michigan (Detroit) Civil Docket for Case # CV-04-71117 Judge Avern Cohn, Magistrate Judge Capel, involved U.S.

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Patent Nos. 5,695,418, 5,797,813, and 5,857,929. Discovery is being conducted and claim construction briefing occurred. The case continues to be litigated.

High Cedar Enterprises Co Ltd., et al. v Winn Inc., began in U.S. District Court- Southern District of Ohio C204576, transferred to U.S. District Court- Central District of California (Western Division- Los Angeles) Civil Docket for Case # CV-05-535 AHS (ANx), involved U.S. Patent Nos. 5,695,418, 5,797,813, and 6,244,975. Discovery is being conducted. The case continues to be litigated.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 12, 210)

By:

Edward A. Schlatter

Registration No. 32,297

Attorney of Record

Customer No. 20,995

(949) 760-0404

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| | Application No. | 10/827,095 |
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| INFORMATION DISCLOSURE | Filing Date | April 19, 2004 |
| STATEMENT BY APPLICANT | First Named Inventor | Ben Huang |
| STATEMENT DI AIT LICANT | Art Unit | 3711 |
| Multiple sheets used when necessary) | Examiner | Stephen Luther Blau |
| SHEET 1 OF 6 | Attorney Docket No. | WINN 020C1 |

| PENT & TRAI | TRADERY U.S. PATENT DOCUMENTS | | | | |
|----------------------|-------------------------------|---|--------------------------------|-------------------------------|--|
| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
| | 1. | 979,266 | 12/20/1910 | Dean | |
| | 2. | 1,017,565 | 02/13/1912 | Lard | |
| | 3. | 1,139,843 | 05/18/1915 | Brown | |
| | 4. | 1,435,088 | 11/07/1922 | Smith | |
| | 5. | 1,522,635 | 01/13/1925 | Kraeuter | |
| | 6. | 1,528,190 | 03/03/1925 | Howe | |
| | 7. | 1943,399 | 01/16/1934 | Smith | |
| | 8. | 2,000,295 | 05/07/1935 | Oldham | |
| | 9. | 2,086,062 | 07/06/1937 | Bray | |
| | 10. | 2,103,889 | 12/28/1937 | Brisick | |
| | 11. | 2,149,911 | 03/07/1939 | East | |
| | 12. | 2,225,839 | 12/24/1940 | Moore | |
| | 13. | 2,772,090 | 11/27/1956 | Brandon | |
| | 14. | 3,606,325 | 09/20/1971 | Lamkin et al. | |
| | 15. | 3,366,384 | 01/30/1968 | Lamkin et al. | |
| | 16. | 4,765,856 | 08/23/1988 | Doubt | |
| | 17. | 5,055,340 | 10/1991 | Matsumura et al. | |
| | 18. | 5,571,050 | 11/05/1996 | Huang | |
| | 19. | 5,577,722 | 11/26/1996 | Glassberg | |
| | 20. | 5,671,923 | 09/30/1997 | Huang | |
| | 21. | 5,839,983 | 11/26/1996 | Glassberg | |
| | 22. | 5,857,929 | 09/30/1997 | Huang | |
| | 23. | 5,895,329 | 04/20/1999 | Huang | |
| | 24. | 5,925,941 | 07/20/1999 | Kofink | |
| | 25. | 6,361,450 | 03/26/2002 | Huang | |
| | 26. | 6,449,803 | 09/17/2002 | McConchie | |
| | 27. | 6,629,901 | 10/07/2003 | Huang | |
| | 28. | 6,666,777 | 12/23/2003 | Lamkin et al. | |
| | 29. | 6,676,534 | 07/25/2002 | Huang | |

Examiner Signature

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Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

Application No. 10/827,095 INFORMATION DISCLOSURE Filing Date April 19, 2004 First Named Inventor Ben Huang STATEMENT BY APPLICANT Art Unit 3711 (Multiple sheets used when necessary) Examiner Stephen Luther Blau SHEET 2 OF 6 Attorney Docket No. WINN.020C1

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| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
| | 30. | US 2002/173371 | 11/21/2002 | Lamkin et al. | |
| | 31. | US 2003/0040384 | 02/27/2003 | Falone et al. | |
| | 32. | US 2004/185958 | 09/23/2004 | Huang | |

| | FOREIGN PATENT DOCUMENTS | | | | | |
|----------------------|--------------------------|--|-----------------------------------|----------------------------------|--|----------------|
| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ¹ |
| | 33. | EP 1 371 397 | 12/17/2003 | Huang | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|----------------------|-------------|---|---|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Ţ |
| | 34. | European Search Report, European Application No. EP 04 25 7967, 4 pages- cites: US 2004/185958; EP 1 371 397; US 2,225,839; US 6,666,777; US 5,839,983; US 5,577,722; and US 979,266 | |
| | 35. | Partial European Search Report, App. No. EP 03 25 5917, 2 pages- cites: 5,671,923; 4,765,856; US 2002/173371; US 2003/040384; and 5,571,050 | |
| | 36. | U.S. Application No. 10/167,216, filed 6/11/2002, pending | |
| : | 37. | U.S. Application No. 10/348,389, filed 1/21/2003, now U.S. Patent No. 6,733,401, issued 5/11/04 | |
| | 38. | U.S. Application No. 10/392,480, filed 3/18/2003, now U.S. Patent No. 6,857,971, issued 2/22/05 | |
| | 39. | U.S. Application No. 10/746,764, filed 12/23/2003 now U.S. Patent No. 6,843,732, issued 1/18/2005 | |
| | 40. | U.S. Application No. 10/608,598, filed 6/27/2003, pending | |
| | 41. | U.S. Application No. 10/785,379, filed 2/24/2004, pending | |
| | 42. | U.S. Application No. 10/875,035, filed 6/23/2004, pending | |
| | 43. | U.S. Application No. 11/029,328, filed 1/5/2005, pending | |
| | 44. | U.S. Application No. 11/062,046, filed 2/18/2005, pending | |
| | 45. | U.S. Application No. 11/131,832, filed 5/18/2005, pending | |

| Examiner Signature | Date Considered |
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| (Multiple sheets used when necessary) | Examiner | Stephen Luther Blau |
| SHEET 3 OF 6 | Attorney Docket No. | WINN.020C1 |

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| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Ţ |
| | 46. | U.S. Application No. 11/172,770, filed 7/1/2005, pending | |
| | 47. | Docket Sheet for U.S. District Court – Central District of California (Western Division – Los Angeles) Civil Docket for Case #: 2:03-CV-01568-SJO-PJW entitled Winn, Inc., et al. v. Eaton Corporation, et al. | |
| | 48. | Answers and Objections of Eaton Corporation to First Set of Interrogatories Filed January 17, 2003, CV 03-1568 | |
| | 49. | Memorandum in Support of Defendant's Motion for Summary Judgment Filed April 25, 2003, CV 03-1568 | |
| | 50. | Declaration of Nick G. Saros in Support of Defendant's Motion for Summary Judgment Filed April 25, 2003, CV 03-1568 | |
| | 51. | Declaration of Luke L. Dauchot Filed April 24, 2003, CV 03-1568 | |
| | 52. | Answers and Objections of Eaton Corporation to Interrogatories Filed May 2, 2003, CV 03-1568 | |
| | 53. | Application to File Confidential Declaration of Lynda J. Zadra-Symes in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Under Seal Filed May 21, 2003, CV 03-1568 | |
| | 54. | Non-Confidential Declaration of Lynda J. Zadra-Symes in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003 | |
| | 55. | Appendix of Citations in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568 | |
| | 56. | Statement of Genuine Issues of Material Fact in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568 | |
| | 57. | Declaration of Ben Huang, Ph.D. in Support of Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568 | |
| | 58. | Declaration of Charles Alexander Garris, Ph.D. in Support of Plaintiff Winn Inc.'s Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568 | |
| | 59. | Plaintiffs' Opposition to Defendant Eaton Corporation's Motion for Summary Judgment Filed May 21, 2003, CV 03-1568 | |
| | 60. | Declaration of Nick G. Saros in Support of Defendant's Reply Brief in Support of its Motion for Summary Judgment Filed May 27, 2003, CV 03-1568 | |
| | 61. | Defendant's Reply Brief in Support of its Motion for Summary Judgment Filed May 27, 2003, CV 03-1568 | |
| | 62. | Amended Answers and Objections of Eaton Corporation to Interrogatories Filed May 29, 2003, CV 03-1568 | |
| | 63. | Supplemental Answers and Objections of Eaton Corporation to Interrogatory Nos. 6, 10, and 11 of the Interrogatories Filed June 6, 2003, CV 03-1568 | |
| | 64. | Supplemental Answers and Objections of Eaton Corporation to Interrogatory Nos. 6 and 7 of the First Set of Interrogatories Filed June 6, 2003, CV 03-1568 | |

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| SHEET 4 OF 6 | Attorney Docket No. | WINN.020C1 |

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| | 65. | Order Re: Motion for Summary Judgment Filed June 16, 2003, CV 03-1568 | |
| | 66. | Answers and Objections of Eaton Corporation to Ben Huang's Second Set of Interrogatories Filed June 16, 2003, CV 03-1568 | |
| | 67. | Declaration of Jeffrey Lloyd Shepherd in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 4, 2003, CV 03-1568 | |
| | 68. | Application to File Confidential Declaration of Paul A. Stewart in Support of Plaintiffs' Motion for Summary Judgment Under Seal Filed August 4, 2003, CV 03-1568 | |
| | 69. | Non-Confidential Declaration of Paul A. Stewart in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 4, 2003, CV 03-1568 | |
| | 70. | Declaration of Nick G. Saros in Support of Eaton's Motion for Summary Judgment Filed August 4, 2003, CV 03-1568 | |
| | 71. | Second Supplemental Answer and Objections of Eaton Corporation to Interrogatory No. 6. of the Interrogatories Filed August 6, 2003, CV 03-1568 | |
| | 72. | Supplemental Answers and Objections of Eaton Corporation to Interrogatory Nos. 5-7 and 9 of the First Set of Interrogatories Filed August 6, 2003, CV 03-1568 | |
| | 73. | Supplemental Declaration of Nick G. Saros in Support of Eaton's Motion for Summary Judgment Filed on August 4, 2003 Filed August 7, 2003, CV 03-1568 | |
| | 74. | Defendant Eaton Corporation's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568 | |
| | 75. | Appendix of Citations in Support of Defendant's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568 | |
| | 76. | Statement of Genuine Issues of Material Fact in Support of Eaton Corporation's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568 | |
| | 77. | Non-Confidential Declaration of Nick G. Saros in Support of Defendant's Opposition to Plaintiffs' Motion for Summary Judgment Filed August 11, 2003, CV 03-1568 | |
| | 78. | Plaintiffs' Opposition to Defendant's Motion for Summary Judgment Filed August 11, 2003, CV 03-1568 | |
| | 79. | Statement of Genuine Issues of Material Fact in Opposition to Eaton Corporation's Motion for Summary Judgment Filed August 11, 2005, CV 03-1568 | |
| | 80. | Declaration of Dr. Garth L. Wilkes in Support of Plaintiffs' Opposition to Eaton Corporation's Motion for Summary Judgment Filed August 11, 2003, CV 03-1568 | |
| | 81. | Declaration of Dr. Kurt C. Frisch, Jr. in Support of Plaintiffs' Opposition to Eaton Corporation's Motion for Summary Judgment August 11, 2003, CV 03-1568 | |
| | 82. | Eaton Corporation's Reply Brief in Support of its Second Motion for Summary Judgment Filed August 18, 2005, CV 03-1568 | |
| | 83. | Declaration of Nick G. Saros in Support of Eaton's Reply Brief in Support of its Motion for Summary Judgment Filed August 18, 2003, CV 03-1568 | |

| Examiner Signature | Date Considered |
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| SHEET 5 OF 6 | Attorney Docket No. | WINN.020C1 |

| NON PATENT LITERATURE DOCUMENTS | | | | |
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| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T | |
| | 84. | Reply Brief in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 18, 2003, CV 03-1568 | | |
| | 85. | Non-Confidential Supplemental Declaration of Paul A. Stewart in Support of Plaintiffs' Motion for Summary Judgment Dismissing Defendant's Counterclaims Filed August 18, 2005,CV 03-1568 | | |
| | 86. | Plaintiffs' Submission of August 18, 2003 Transcript of Deposition of Dr. Shaw Ling Hsu in Opposition to Defendant's Motion for Summary Judgment Filed August 20, 2003, CV 03-1568 | | |
| | 87. | Defendant's Response to Plaintiffs' Submission of August 18, 2003 Filed August 21, 2003, CV 03-1568 | | |
| | 88. | Declaration of Erica S. Olson in Support of Eaton's Response to Plaintiffs' Submission of August 18, 2003 Filed August 21, 2003, CV 03-1568 | | |
| | 89. | Supplemental Declaration of Dr. Kurt C. Frisch, Jr. in Support of Plaintiffs' Opposition to Eaton Corporation's Motion for Summary Judgment Filed August 25, 2005, CV 03-1568 | | |
| | 90. | Plaintiffs' Memorandum of Contentions of Fact and Law Filed September 8, 2003, CV 03-1568 | | |
| | 91. | Stipulated Dismissal and Order Filed October 9, 2003, CV 03-1568 | | |
| | 92. | Docket Sheet for U.S. District Court- Eastern District of Michigan (Detroit) Civil Docket for Case #: 2:04:CV71117-AC-WC entitled Winn, Inc. v. King Par Corporation | | |
| | 93. | Answer to Winn Inc.'s First Set of Interrogatories (Nos. 1-5) Filed July 20, 2004, CV 04-71117 (*Note Annex A to this Answer was marked Confidential. As such, the Annex has not been submitted herewith.) | | |
| | 94. | Response of Winn Inc. and Ben Huang to Defendant King Par Corporation's First Set of Interrogatories Directed to Plaintiffs Filed August 2, 2004, CV 04-71117 | | |
| | 95. | Answer to Plaintiff's Fourth Set of Interrogatories to King Par Corporation (Nos. 10-12) Filed December 30, 2004, CV 04-71117 | | |
| | 96. | Plaintiffs' Claim Construction Brief Filed March 2, 2005, CV 04-71117 | | |
| | 97. | Declaration of Dr. Charles A. Garris in Support of Plaintiff's Claim Construction Filed March 3, 2005, CV 04-71117 | | |
| | 98. | Declaration of Dr. Kurt C. Frisch, Jr. in Support of Plaintiffs' Claim Construction Position Filed March 3, 2005, CV 04-71117 | | |
| | 99. | Declaration of Dr. Ben Huang in Support of Plaintiffs' Claim Construction Filed March 3, 2005, CV 04-71117 | | |
| | 100. | Winn, Inc. and Ben Huang's Appendix of Dictionary Citations Filed March 3, 2005, CV 04-71117 | | |
| | 101. | Memorial for the Respondent Filed March 15, 2005, CV 04-71117 | | |
| | 102. | Errata to Plaintiffs' Claim Construction Brief Filed March 21, 2005, CV 04-71117 | | |

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| | 103. | Defendant's Brief on Claim Construction Filed March 30, 2005, CV 04-71117 | |
| | 104. | Docket Sheet for U.S. District Court- Central District of California (Western Division – Los Angeles) Civil Docket for Case #: 2:05-CV-00535-AHS-AN, entitled <u>High Cedar Enterprises Co., Ltd. et al. v. Winn Inc.</u> | |
| | 105. | Responses of High Cedar Enterprises Co., Ltd., Karakal Far East, Ltd., a/k/a Karakal Golf Grips and Jack Wang to Winn, Inc.'s First Set of Requests for Admission [Nos. 1-17] Filed June 10, 2005, SACV 05-535 AHS (ANx) | |
| | 106. | Responses of High Cedar Enterprises Co., Ltd., Karakal Far East, Ltd., a/k/a Karakal Golf Grips and Jack Wang to Winn, Inc.'s First Set of Interrogatories [Nos. 1-15] Filed July 11, 2005, SACV 05-535 AHS (ANx) | |
| | 107. | Docket Sheet for U.S. District Court- Central District of California (Southern Division- Santa Ana) Civil Docket for Case #: 8:05-CV-00168 CJC (RNBx) entitled Winn, Inc., et al. v Karakal Far East Ltd., et al. | |
| | 108. | Memorandum of Points and Authorities in Support of Plaintiff's Motion for A Preliminary Injunction Filed February 28, 2005, SACV 05-00168 | |
| | 109. | Declaration of Ben Huang in Support of Plaintiff's Motion for A Preliminary Injunction Filed February 28, 2005, SACV 05-00168 | |
| | 110. | Declaration of Charles A. Garris in Support of Plaintiffs' Motion for Preliminary Injunction Filed February 28, 2005, SACV 05-00168 | |

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Examiner Signature

Date Considered

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